

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the patent of:

Attorney Docket No.: 4430.01US04

Schroepfel et al.

Confirmation No.: 3969

Patent No.: 7,742,811

Application No.: 10/792,256

Issued: June 22, 2010

Filed: March 2, 2004

For: IMPLANTABLE DEVICE AND METHOD FOR THE ELECTRICAL TREATMENT
OF CANCER

CERTIFICATE UNDER 37 CFR § 3.73(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Ionix Medical Inc., a corporation, states that it is the assignee of the entire right, title and
interest in the patent application identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application identified above.
The assignment was recorded in the Patent and Trademark Office at Reel ____,
Frame ____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent identified above, to the current
assignee as shown below:

- I. From Edward A. Schroepfel, Mark W. Kroll to OncoStim.

The document was recorded in the Patent and Trademark Office at Reel
_____, Frame _____ or for which a copy thereof is attached.

2. From OncoStim to Ionix Medical Inc.

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____ or for which a copy thereof is attached.

3. From _____ to _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____ or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: _____

6/6/11

Signature

Kai Kroll

Kai Kroll

Name Printed or Typed

President & CEO

Title

Please grant any extension of time necessary for entry; charge any fee due to Deposit Account No. 16-9631.

ASSIGNMENT

WHEREAS, we **Edward A. Schroepel and Mark W. Kroll** have invented certain new and useful inventions and improvements in **Implantable Device and Method For The Electrical Treatment Of Cancer**, for which an application for Letters Patent of the United States is being made, said application having been filed with the United States Patent and Trademark Office on 14 December 2001 and identified by Serial No.:09/974,474.

WHEREAS, **OncoStim**, a corporation organized and existing under the laws of the state of Minnesota and having its principal offices at Maple Grove, (hereinafter "Assignee"), is desirous of acquiring the entire right, title and interest in and to said invention, said application and any and all Letters Patent to be obtained therefor,

NOW, THEREFORE, for and in consideration of certain good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred, and by this Assignment do hereby sell, assign and transfer unto the Assignee, its successors and assigns, our entire right, title and interest in and to any and all inventions and improvements disclosed in the aforesaid application, and in and to said application, all divisions, continuations or renewals thereof, and any and all Letters Patent, both foreign and domestic, that issue therefrom, including all reissues or extensions of such Letters Patent and including, all of our rights under the International Convention, and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee in accordance herewith.

We hereby authorize the Assignee, its successors and assigns, or anyone it may properly designate, to insert in this Assignment the filing date and serial number of said application when ascertained.

We hereby further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name if desired, in any and all foreign

countries, and additionally to claim the filing date of said application and/or otherwise take advantage of the provisions of the International Convention.

We hereby covenant and agree with the Assignee, its successors and assigns, that we will not execute in writing or do any act whatsoever conflicting with this Assignment, and that we, or our executors or administrators, will at any time upon request, without further or additional consideration, but at the expense of Assignee, its successors and assigns, execute such additional writings and do such additional acts as Assignee, its successors and assigns, may deem necessary or desirable to perfect Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisions, reissued or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights occurring as a result of such applications or Letters Patent, by giving testimony in any proceedings or transactions involving such applications or Letters Patent.

We hereby further covenant and agree with the Assignee, its successors and assigns, that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that the full right and title to convey the same as herein expressed is currently possessed by us.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seal as dated below.

Date February 6, 2002


Name: Edward A. Schroepfel

Date 16 Feb 2002


Name: Mark W. Kroll